

Apr. 25 2001 14:43 L. G. KERENYI JR.,

(914) 421-9031

P.2

04/25/2001 09:23 7067829721

MITCH HARRIS

PAGE 02

Please type a plus sign (+) inside this box ☒ +

Approved for use through 6/30/04, OMB 0001-0052
 Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE
 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63) <input checked="" type="checkbox"/> Declaration Submitted with Initial Filing OR <input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)	Attorney Docket Number	020P2304
	First Named Inventor	Nguyen
	COMPLETE IF KNOWN	
	Application Number	/
	Filing Date	
	Group Art Unit	
	Examiner Name	

As a below named inventor, I hereby declare (that):

My residence, post office address, and citizenship are as stated below next to my name.

I believe
 (name and filed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

APPARATUS AND METHOD FOR ADAPTIVELY CONTROLLING POWER SUPPLIED TO A HOT-PLUGGABLE SUBSYSTEM

the specification of which (Title of the Invention)

☒ is attached hereto

OR

☐ was filed on (MM/DD/YYYY) as United States Application Number or PCT International

Application Number and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is essential to patentability as defined in 37 CFR 1.55.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT International application having a filing date before the date of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?
			YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

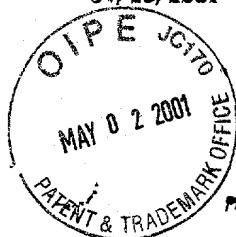
Application Number(s)	Filing Date (MM/DD/YYYY)

☐ Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

(Page 1 of 2)

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEE OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

www.patent.gov

Please type a plus sign (+) inside this box ☐Under
valid OMB control number.Approved for use through 11/30/00. OMB 0031-0001
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE**DECLARATION — Utility or Design Patent Application**

I hereby state the benefit under 35 U.S.C. 120 of any United States application(s), or 35 U.S.C. 122(a) of any PCT international application designating the United States of America, filed before and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.50 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/028 attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to sign all business in the Patent and Trademark Office connected therewith:

☐ Customer Number

OR

☐ Registered practitioner(s) name/registration number listed below

Place Customer
Number Bar Code
Label here

Name	Registration Number	Name	Registration Number

☐ Additional registered practitioner(s) names on supplemental Registered Practitioner Information sheet PTO/SB/020 attached hereto.

Direct all correspondence to: ☒ Customer Number or Bar Code Label 20604 OR ☐ Correspondence address below

Name			
Address			
Address			
City	State	ZIP	
Country	Telephone	Fax	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1201 and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Name of Sole or First Inventor: ☐ A petition has been filed for this unsigned inventor

Given Name (first and middle if any)

Family Name or Surname

Jamie Hung Nguyen

Inventor's Signature

Residence City San Jose State CA Country USA Citizenship USA

Post Office Address 2474 Glen Duff Way

Post Office Address

City San Jose State CA ZIP 95148 Country USA

☐ Additional inventors are being named on the supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

Apr. 25 2001 14:44 L. G. KERENYI JR.

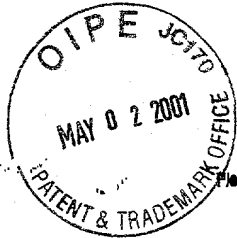
(914) 421-9031

p. 4

04/25/2001 09:23 7067829721

MITCH HARRIS

PAGE 04

Please type a plus sign (+) inside this box ☐

Under the Paperwork Reduction Act of 1996, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

PTO/SB02A (2-97)
 Approved for use through 4/30/04. OMB 0601-0032
 Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

+

DECLARATION

 ADDITIONAL INVENTOR(S)
 Supplemental Sheet
 Page 1 of 2

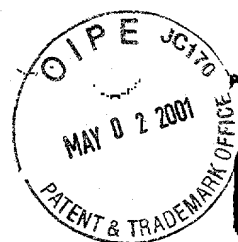
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Seng Tan		Ngo	
Inventor's Signature			Date
Residence City	Cupertino	State	CA Country USA Citizenship USA
Post Office Address	858 Miller Avenue		
Post Office Address			
City	Cupertino	State	CA ZIP 95014 Country USA
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
David Chalmers		Scho	
Inventor's Signature			Date
Residence City	Cupertino	State	CA Country USA Citizenship CANADA
Post Office Address	22020 Ricardo Road		
Post Office Address			
City	Cupertino	State	CA ZIP 95014 Country USA
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Ladislav G.		Keranyi	
Inventor's Signature	<i>Ladislav G. Keranyi</i>		4/25/2001 Date
Residence City	White Plains	State	NY Country USA Citizenship USA
Post Office Address	12 Roland Drive		
Post Office Address			
City	White Plains	State	NY ZIP 10605 Country USA

Caution: Your statement. This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the content of this form are required to comply with this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20531. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20531.

+

www.uspto.gov

 RECEIVED
 04/25/2001

Please type a plus sign (+) inside this box ☐

Under the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it contains a valid OMB control number.

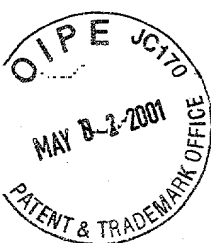
Approved for use through 07/01/02. OMB 0851-0002
 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

**DECLARATION**
ADDITIONAL INVENTOR(S)
 Supplemental Sheet
 Page 2 of 2

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))		Family Name or Surname			
Khai Minh		Le			
Inventor's Signature				Date	
Residence: City	Berkeley	State	CA	Country	USA
Post Office Address	20718 Prospect Road				
Post Office Address					
City	Berkeley	State	FL	ZIP	05070
Country	USA				
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))		Family Name or Surname			
Inventor's Signature				Date	
Residence: City		State		Country	
Post Office Address					
Post Office Address					
City		State		ZIP	
Country					
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))		Family Name or Surname			
Inventor's Signature				Date	
Residence: City		State		Country	
Post Office Address					
Post Office Address					
City		State		ZIP	
Country					

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**

www.usptoforms.net



Please type a plus sign (+) inside this box ☒

Approved for use through system, OMB 0401-0032
Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1996, no persons are required to respond to a collection of information unless it carries a valid OMB control number.

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)	Attorney Docket Number	023P2304
	First Named Inventor	Nguyen
	COMPLETE IF KNOWN	
	Application Number	/
	Filing Date	
	Group Art Unit	
<input checked="" type="checkbox"/> Declaration Submitted with Initial Filing	OR	<input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)
Examiner Name		

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I am the inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

APPARATUS AND METHOD FOR ADAPTIVELY CONTROLLING POWER SUPPLIED TO A HOT-PLUGGABLE SUBSYSTEM

the specification of which (Title of the invention)

☒ is attached hereto OR

☐ was filed on (MM/DD/YYYY) [] as United States Application Number or PCT (international)

Application Number: [] and was amended on (MM/DD/YYYY) [] (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendments specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under the U.S.C. 110(a)-(d) or 365(a) of any foreign application(s) for patent as inventor's certificate, or 365(a) of any PCT international application which designates or elects the United States of America, listed below and have also identified priority by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB02B attached hereto.

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)

☐ Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB02B attached hereto.

(Page 1 of 2)

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

www.uspto.gov

Please type a plus sign (+) inside this box

Under
rule ONE control number.PTO/USPT (12-01)
Approved for use through 3/30/00. ONE ONE-0032
Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Paperwork

**DECLARATION — Utility or Design Patent Application**

I hereby claim the benefit under 35 U.S.C. 119 of any United States application(s), or 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.55 which becomes available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplementary priority data sheet PTO/USPT attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Name	Registration Number	Name	Registration Number

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/USPT attached hereto.

Direct all correspondence to: ☒ Customer Number 23604 OR ☐ Correspondence address below

Name			
Address			
Address			
City	State	ZIP	
Country	Telephone	Fax	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: ☐ A petition has been filed for this unsigned inventor

Given Name (first and middle if any)	Family Name or Surname
James Hung	Nguyen

Inventor's Signature: *James Nguyen* Date: 04/24/01

Residence: City San Jose State CA Country USA Citizenship USA

Post Office Address: 2474 Glen Drive Way

Post Office Address:

City San Jose State CA ZIP 95148 Country USA

☐ Additional inventors are being named on the supplemental Additional Inventor(s) sheet(s) PTO/USPT attached hereto

Please type a plus sign (+) inside this box: ☐

PTO/EB/DBA (S-87)

Approved for use through 03/01/01. ONE 0001-0002

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

DECLARATION

ADDITIONAL INVENTOR(S)

Supplemental Sheet

Page 1 of 2

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Siang Tan		Noo	
Inventor's Signature	<i>[Signature]</i>		04/24/01 Date
Residence City	Cupertino	State	CA
		Country	USA
Post Office Address	660 Miller Avenue		
Post Office Address			
City	Cupertino	State	CA
		ZIP	95014
		Country	USA
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
David Chalmers		Schle	
Inventor's Signature	<i>[Signature]</i>		04/24/01 Date
Residence City	Cupertino	State	CA
		Country	USA
Post Office Address	22820 Ricardo Road		
Post Office Address			
City	Cupertino	State	CA
		ZIP	95014
		Country	USA
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Ladislav G.		Keranyi	
Inventor's Signature			Date
Residence City	White Plains	State	NY
		Country	USA
Post Office Address	12 Roland Drive		
Post Office Address			
City	White Plains	State	NY
		ZIP	10605
		Country	USA

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual user. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND PAGE OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

www.ecommerce.gov

04/25/2001 17:16
SENT BY: SUPERTEX INC;

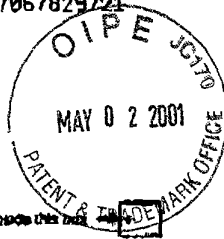
7067829721

MITCH HARRIS
4082222704;
APR-25-01 3:35PM;
MITCH HARRIS

PAGE 05
PAGE 5/8

04/24/2001 19:26

7067829721



Please type a plus sign (+) inside this box.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Approved for use through 4/30/01. OMB 0581-0002

Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

DECLARATION				ADDITIONAL INVENTOR(S) Supplemental Sheet Page 2 of 2			
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Khal Mith				Lo			
Inventor's Signature				04/24/01			
Residence: City				State	CA	Country	USA
Post Office Address				20710 Prospect Road			
Post Office Address							
City				State	FL	ZIP	33570
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Inventor's Signature				Date			
Residence: City				State		Country	
Post Office Address							
Post Office Address							
City				State		ZIP	
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Inventor's Signature				Date			
Residence: City				State		Country	
Post Office Address							
Post Office Address							
City				State		ZIP	

Burden Hour Statement: This form is estimated to take 0.4 hour to complete. Time may vary depending upon the needs of the individual case. Any comments on the accuracy of this time estimate should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

WWW.USPTO.GOV